

**AGENDA ITEM 14**

Consider approving agreement with TxDOT for intersection improvements for FM 397 and SH 95.

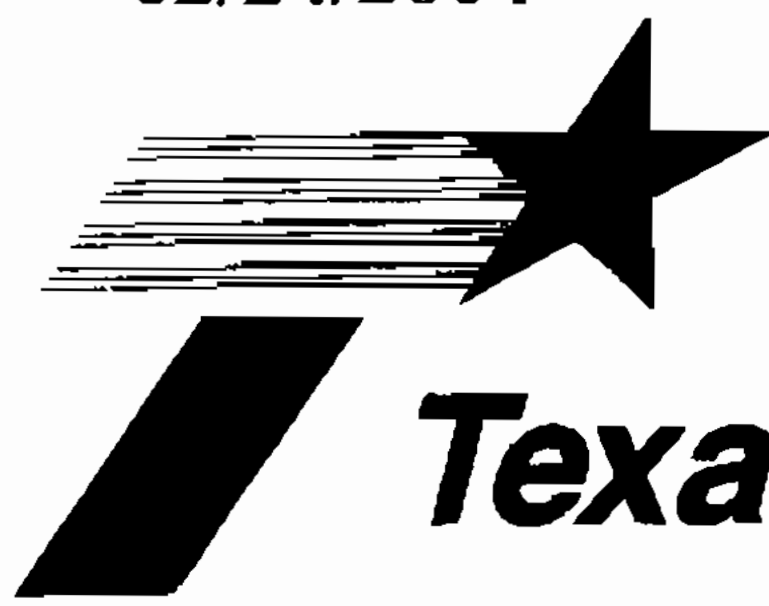
Moved: **Commissioner Limmer**

Seconded: **Judge Doerfler**

Motion: To approve agreement with TxDOT for intersection improvements for FM 397 and SH 95.

Vote: 4 – 0

< Attachment >



# Texas Department of Transportation

P.O. DRAWER 15426 • AUSTIN, TEXAS 78761-5426 • (512) 832-7000

November 25, 2003

Williamson County  
CSJ: 0320-04-020  
FM 397: Intersection with SH 95

Honorable John C. Doerfler  
County Judge  
Williamson County  
710 Main  
Georgetown, Texas 78626

Dear Judge Doerfler:

Enclosed are two original counterparts of an agreement for the above project.

Please return both executed documents for further processing by this office. A check made payable to the Texas Department of Transportation in the amount of \$12,305.46 will be due upon receipt of a fully executed agreement to activate the project. The remaining balance of the estimated project costs will be due sixty days prior to letting.

If you have any questions, please contact me at 832-7050.

*approved 2-24-04*  
*John C. Doerfler*

Sincerely,

*Patricia L. Crews-Weight*  
Patricia L. Crews-Weight, P.E.  
District Design Engineer

Attachment

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THE STATE OF TEXAS                   §

THE COUNTY OF TRAVIS               §

**ADVANCE FUNDING AGREEMENT FOR VOLUNTARY  
TRANSPORTATION IMPROVEMENT PROJECTS (ON-SYSTEM)**

**THIS AGREEMENT IS MADE BY AND BETWEEN** the State of Texas, acting by and through the Texas Department of Transportation, hereinafter called the "State", and Williamson County, acting by and through its duly authorized officials, hereinafter called the "Local Government."

**WITNESSETH**

**WHEREAS**, Transportation Code, Chapter 201, 221. and 222 authorizes the State to lay out, construct, maintain, and operate a system of streets, roads, and highways that comprise the State Highway System; and,

**WHEREAS**, Commission Minute Order Number 85094 authorizes the State to undertake and complete a highway improvement generally described as intersection improvements; and,

**WHEREAS**, the Local Government has requested that the State allow the Local Government to participate in said improvement by funding that portion of the improvement described as right turn deceleration lane and striping improvements, hereinafter called the "Project"; and,

**WHEREAS**, the State has determined that such participation is in the best interest of the citizens of the State;

**NOW, THEREFORE**, in consideration of the premises and of the mutual covenants and agreements of the parties hereto, to be by them respectively kept and performed as hereinafter set forth, the State and the Local Government do agree as follows:

**AGREEMENT**

**Article 1. Time Period Covered**

This agreement becomes effective when signed by the last party whose signing makes the agreement fully executed, and the State and the Local Government will consider it to be in full force and effect until the Project described herein has been completed and accepted by all parties or unless terminated, as hereinafter provided.

**Article 2. Project Funding and Work Responsibilities**

The State will authorize the performance of only those Project items of work which the Local Government has requested and has agreed to pay for as described in Attachment A, Payment Provision and Work Responsibilities which is attached to and made a part of this contract.

In addition to identifying those items of work paid for by payments to the State, Attachment A, Payment Provision and Work Responsibilities, also specifies those Project items of work that are the responsibility of the Local Government and will be carried out and completed by the Local Government, at no cost to the State.

Texas Government Code, Chapter 2106 requires the State to recover indirect costs associated with this agreement as calculated based on prevailing rates specified in the State's Indirect Cost Recovery Program.



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**Article 3. Right of Access**

If the Local Government is the owner of any part of the Project site, the Local Government shall permit the State or its authorized representative access to the site to perform any activities required to execute the work. The Local Government will provide for all necessary right-of-way and utility adjustments needed for performance of the work on sites not owned or to be acquired by the State.

**Article 4. Responsibilities of the Parties**

The Local Government acknowledges that while it is not an agent, servant, nor employee of the State, it is responsible for its own acts and deeds and for those of its agents or employees during the performance of the work on the Project.

**Article 5. Document and Information Exchange**

The Local Government agrees to electronically deliver to the State all general notes, specifications, contract provision requirements and related documentation in a Microsoft® Word or similar document. If requested by the State, the Local Government will use the State's document template. The Local Government shall also provide a detailed construction time estimate including types of activities and month in the format required by the State. This requirement applies whether the local entity creates the documents with its own forces or by hiring a consultant or professional provider.

**Article 6. Interest**

The State will not pay interest on funds provided by the Local Government. Funds provided by the Local Government will be deposited into, and retained in, the State Treasury.

**Article 7. Inspection and Conduct of Work**

Unless otherwise specifically stated in Attachment A, Payment Provision and Work Responsibilities, to this contract, the State will supervise and inspect all work performed hereunder and provide such engineering inspection and testing services as may be required to ensure that the Project is accomplished in accordance with the approved plans and specifications. All correspondence and instructions to the contractor performing the work will be the sole responsibility of the State. Unless otherwise specifically stated in Attachment A to this contract, all work will be performed in accordance with the *Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges* adopted by the State and incorporated herein by reference, or special specifications approved by the State.

**Article 8. Increased Costs**

In the event it is determined that the funding provided by the Local Government will be insufficient to cover the State's cost for performance of the Local Government's requested work, the Local Government will pay to the State the additional funds necessary to cover the anticipated additional cost. The State shall send the Local Government a written notification stating the amount of additional funding needed and stating the reasons for the needed additional funds. The Local Government shall pay the funds to the State within 30 days of the written notification, unless otherwise agreed to by all parties to this agreement. If the Local Government cannot pay the additional funds, this contract shall be mutually terminated in accord with Article 10 - Termination. If this is a fixed price agreement as specified in Attachment A, Payment Provision and Work Responsibilities, this provision shall only apply in the event changed site conditions are discovered or as mutually agreed upon by the State and the Local Government.

If any existing or future local ordinances, including, but not limited to, outdoor advertising billboards or storm water drainage facility requirements, are more restrictive than State or Federal Regulations, or any other locally proposed changes, including, but not limited to plats or replats, result in increased costs, then, any increased costs associated with the ordinances or changes will be paid by the local entity. The cost of providing such right of way acquired by the State shall mean the total expenses in



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acquiring the property interests either through negotiations or eminent domain proceedings, including expenses related to relocation, removal, or adjustment of eligible utilities.

**Article 9. Maintenance**

Upon completion of the Project, the State will assume responsibility for the maintenance of the completed Project unless otherwise specified in Attachment A to this agreement.

**Article 10. Termination**

This agreement may be terminated in the following manner:

- ◆ by mutual written agreement and consent of both parties;
- ◆ by either party upon the failure of the other party to fulfill the obligations set forth herein;
- ◆ by the State if it determines that the performance of the Project is not in the best interest of the State.

If the contract is terminated in accordance with the above provisions, the Local Government will be responsible for the payment of Project costs incurred by the State on behalf of the Local Government up to the time of termination.

- A. In the event the State determines that additional funding is required by the Local Government at any time during the development of the Project, the State will notify the Local Government in writing. The Local Government will make payment to the State within thirty (30) days from receipt of the State's written notification.
- B. Upon completion of the Project, the State will perform an audit of the Project costs. Any funds due to the Local Government, the State, or the Federal Government will be promptly paid by the owing party.
- C. In the event the Project is not completed, the State may seek reimbursement from the Local Government of the expended funds. The Local Government will remit the required funds to the State within sixty (60) days from receipt of the State's notification.
- D. The State will not pay interest on any funds provided by the Local Government.
- E. The State will not execute the contract for the construction of the Project until the required funding has been made available by the Local Government in accordance with this Agreement.

**11. Notices**

All notices to either party by the other required under this agreement shall be delivered personally or sent by certified or U.S. mail, postage prepaid or sent by electronic mail, (electronic notice being permitted to the extent permitted by law but only after a separate written consent of the parties), addressed to such party at the following addresses:

Local Government:	State:
John C. Doerfler Williamson County Judge 710 Main Street Georgetown, Texas 78626	Robert B. Daigh, P.E. Austin District Engineer P.O. Drawer 15426 Austin, Texas 78761-5426

All notices shall be deemed given on the date so delivered or so deposited in the mail, unless otherwise provided herein. Either party may change the above address by sending written notice of the change to the other party. Either party may request in writing that such notices shall delivered personally or by certified U.S. mail and such request shall be honored and carried out by the other party.

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**Article 12. Sole Agreement**

In the event the terms of the agreement are in conflict with the provisions of any other existing agreements between the Local Government and the State, the latest agreement shall take precedence over the other agreements in matters related to the Project.

**Article 13. Successors and Assigns**

The State and the Local Government each binds itself, its successors, executors, assigns, and administrators to the other party to this agreement and to the successors, executors, assigns, and administrators of such other party in respect to all covenants of this agreement.

**Article 14. Amendments**

By mutual written consent of the parties, this contract may be amended prior to its expiration.

**Article 15. State Auditor.** The state auditor may conduct an audit or investigation of any entity receiving funds from the state directly under the contract or indirectly through a subcontract under the contract. Acceptance of funds directly under the contract or indirectly through a subcontract under this contract acts as acceptance of the authority of the state auditor, under the direction of the legislative audit committee, to conduct an audit or investigation in connection with those funds.

**Article 16. Signatory Warranty**

The signatories to this agreement warrant that each has the authority to enter into this agreement on behalf of the party they represent.

**IN WITNESS WHEREOF, THE STATE AND THE LOCAL GOVERNMENT** have executed duplicate counterparts to effectuate this agreement.

**THE STATE OF TEXAS**

Executed for the Executive Director and approved for the Texas Transportation Commission for the purpose and effect of activating and/or carrying out the orders, established policies or work programs heretofore approved and authorized by the Texas Transportation Commission.

By \_\_\_\_\_ Date \_\_\_\_\_  
District Engineer

**THE LOCAL GOVERNMENT**

Name of the Local Government Williamson County

By John C. Doerfler Date 2-24-04

Typed or Printed Name and Title John C. Doerfler  
County Judge



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**ATTACHMENT A  
Payment Provision  
and Work Responsibilities**

**1. Project Description**

The construction of deceleration lanes and striping improvements along FM 397 within the limits of CR 367 and SH 95 to include, but not limited to, pavement widening, surface treatment, ACP overlay and restriping along FM 397 within the City Limits of Taylor. *REF  
7/26/03  
8/11/03*

**2. Right of Way**

If right of way is required, the Local Government shall prepare right of way maps, property descriptions and other data needed, utilizing all applicable laws governing the acquisition policies for acquiring real property. Tracings of the maps shall be retained by the State for its records.

**3. Utility Adjustments/Relocations**

If the proposed construction requires the adjustment, removal or relocation of any utility facilities, the Local Government and/or its consultant shall establish the necessary utility work and notify the appropriate utility company to design and schedule their adjustments. The Local Government shall be responsible for all costs associated with the adjustments not assumed by the utility company. Removal or relocation of such utilities shall be in accordance with applicable laws, regulations, policies and procedures. In the event additional utilities are required to be adjusted, removed or relocated during the construction of the Project, the Local Government will be responsible for all costs associated with the additional utility work within its jurisdiction.

**4. Environmental Requirements**

- A. The Local Government shall prepare, or cause to be prepared, the appropriate environmental documentation and secure environmental clearance for the Project. Preparation and coordination of the environmental documentation shall be through Mike Walker, TxDOT Austin District Environmental Coordinator, (512) 832-7168.
- B. To the extent required to complete the Project, the Local Government will be responsible for the mitigation and remediation of any environmental problems associated with the development of the Project. All costs associated with the remediation of the environmental problems will be the responsibility of the Local Government.
- C. The Local Government shall provide to the State written certification from the appropriate regulatory agency(s) that all environmental problems have been remedied, prior to the State advertising for bids.

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5. Engineering Services

- A. The Local Government shall prepare, or cause to be prepared, the engineering plans, specifications, and estimates (P.S. & E.) necessary for the development of the Project. The P.S. & E. shall be prepared in accordance with all applicable laws, policies and regulations, deemed necessary by the State.
- B. The engineering plans shall be developed in accordance with the Roadway Design Manual, the 1993 Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges and the Texas Accessibility Standards.
- C. The Local Government shall submit the completed P.S. & E. to the State for review and approval, twenty weeks prior to the State's anticipated bid opening date. Should the State determine that revisions are required to the documents, the Local Government shall make the necessary revisions, in accordance with the required dates as outlined in the States' current PS&E Review and Processing schedule.

6. Construction Responsibilities

- A. The State shall advertise for construction bids, issue bid proposals, receive and tabulate bids and award/reject a contract for construction in accordance with applicable procedures and laws. Actual construction shall be performed by contract, awarded by the competitive bidding process.
- B. The State shall supervise and inspect all work performed by the contractor and provide the necessary engineering, inspection and testing services required to ensure that the construction of the Project is accomplished in accordance with the approved P.S. & E.

7. Maintenance Responsibilities

Upon completion of the Project, the State shall assume responsibility for the maintenance of the completed facility.

8. Payment Provisions

The Local Government will be responsible for 100% of the costs to complete the work.

The agreement will be based on actual costs required to complete the Project. The estimated project costs are broken down as:

Estimated Bid Items	\$ 214,942.51
Construction Engineering & Contingencies (14.5%)	\$ 31,166.66
Subtotal	\$ 246,109.17
Administration Costs (5%)	\$ 12,305.46
Estimated Total Cost of Project	\$ 258,414.63



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Indirect costs will not be applied to this project.

**The Local Government shall submit a check to the State, in the amount of \$12,305.46, within thirty (30) days of receiving an executed agreement to activate the project.**

The Local Government will be responsible for any costs required for Project completion. Upon completion of the Project, the State will perform an audit of the Project costs. Any funds due to the Local Government or the State will be promptly paid by the owing party.