

AGENDA ITEM 12

Consider acknowledging receipt of Investment Education Certification for Commissioner Heiligenstein.

Moved: Commissioner Boatright

Seconded: Judge Doerfler

Motion: To acknowledge receipt of Investment Education Certification for Commissioner Heiligenstein.

Vote: 5 - 0

< Attachment >

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 AUG 24 2001 12:47 FR NATIONWIDE
 PAGE 06 6564 ZBS ZTS

Mike Heiligenstein

0003

614 677 6713 TO #915122483243 P.01/02



TEXAS DEPARTMENT OF INSURANCE

333 Guadalupe P.O. Box 149104 Austin, Texas 78714-9104
LICENSE CERTIFICATE



ID Number	Expires
0005953263	01-14-2002

This is to certify that the entity / person named herein is licensed to act for the license period and under the license types indicated and subject to the provisions set forth in the Texas Insurance Code.


MICHAEL LEE HEILIGENSTEIN
12401 RESEARCH BLVD, BLDG 1 STE 150
AUSTIN TX 78759

LICENSE	LICENSE TYPE DESCRIPTION
01-01	GROUP 1 LIFE, ACCIDENT, HEALTH AND MAD
04-00	VARIABLE CONTRACT AGENTS LICENSE

noted 10-23-01
John C. Dwyer

I certify that I am familiar with the provisions of the Texas Insurance Code which govern the license type(s) issued to me as identified herein. I further certify that I am knowledgeable of the privileges and limitations which this license extends to me, and the penalties which the Texas Insurance Code and Texas Penal Code provide for the misuse thereof.

Signature of Licensee

Texas Department of Insurance License Card ID Number: 0005953263 Expires on: 01-14-2002 MICHAEL LEE WEINSTEIN 13401 RESEARCH BLVD, BLDG 1, STE 150 AUSTIN TX 78759  Signature of Licensee	Texas Department of Insurance (512) 322-3900 P.O. Box 148704 Austin, TX 78714-0104 <table border="1"> <tr> <td> License Type: 01-01 04-00 </td> </tr> </table>	License Type: 01-01 04-00
License Type: 01-01 04-00		

This is to certify that the person named herein has been found to not be the license period over under the license type indicated and subject to the provisions set forth in the Texas Insurance Code.

This card is to be clipped out, laminated (optional) and carried by the named licensee. (Individuals only.) Retain the upper portion for display or your own records.

OS 7W172N 4T10M011VN A4:80 103E)66.IC- 23C

10/23/2001

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Nike Heiligenstein

0002

614 677 6713 TO H915122483243 P.02/02

HEILIGENSTEIN, MICHAEL LEE
CND 2102779

FORM U-4 STATUS REPORT

BILLING CODE - SALES

REPORT DATE 12/23/98

CE STATUS: SATISFIED
REGISTRATIONS REQUESTED

DEFICIENCIES NOTED

APP'L	POS	STATUS	DATE	REASON(S)
SALES	IR	APPROVED	12/22/98	
WASD	IR	APPROVED	10/22/96	
TX	AD	APPROVED	10/24/96	

DATA: NONE
FORM: NONE
OTHER: NONE

EXAMINATIONS SCHEDULED

NONE

int'd 10-23-01
John C. Deffen

FIRM CND 9 7116

NATIONWIDE INVESTMENT SERVICES CORPORATION
TWO NATIONWIDE PLAZA
MAIL CODE 2-14-02
COLUMBUS, OH 43215

ATTN: BARBARA J. SHANE, VICE PRESIDENT-

07110-01927-007-0809-J1223A-02

AGENDA ITEM 13

Consider accepting 3rd quarter Investment Report from Investment Committee.

Moved: **Commissioner Boatright**

Seconded: **Judge Doerfler**

Motion: To accept 3rd quarter Investment Report from Investment Committee.

Vote: 5 - 0

< Attachment >

Williamson County

Vivian L. Wood
County Treasurer



October 4, 2001

The Honorable John C. Doerfler, County Judge
The Honorable Mike Heiligenstein, Commissioner, Precinct 1
The Honorable Greg Boatright, Commissioner, Precinct 2
The Honorable David Hays, Commissioner, Precinct 3
The Honorable Frankie Limmer, Precinct 4
710 Main Street, Suite 201
Georgetown, TX 78626-5701

Gentlemen:

The recent events in New York and Washington, D.C. have certainly affected the present investment markets and will have an effect on future investments for Williamson County. We are in that part of the year when excess funds are not available for investment purchases.

The attached quarterly investment report is for the months of June, July, and August. The funds invested at this time are from bond proceeds and a General Fund investment that will mature in November. As you see in the report, the bond funds investments are repurchase agreements and are set up on a scheduled draw down procedure.

I have visited with Deborah Hunt in regard to the anticipated property tax revenue. As soon as those funds are available we will begin investing in the securities providing the best yield. If the economic indicators continue as they are now, we will not receive the gain on our investments that we have in the last fiscal year.

We are in the process of reviewing our Investment Policy and will present the updated version for your review and approval as soon as possible. The Investment Committee has met and approved the attached reports. This information will be placed on the Commissioners' Court October 16th consent agenda for acceptance.

Please let me know if you have questions in regard to this memorandum or the attached documentation.

Sincerely,

Vivian L. Wood
Williamson County Treasurer
Certified Investment Officer

Attachment