

Purchased Services Agreement TTOR-EMS Services

Agreement No. 170244/42943

This Purchase Services Agreement is effective as September 1, 2021 (the “Effective Date”) entered into to specify the terms and conditions under which The University of Texas Health Science Center at San Antonio (hereinafter “UTHSCSA”) shall purchase services from Williamson County (hereinafter “CONTRACTOR”) in support of UTHSCSA’s project entitled “**Texas Targets Opioid Response (TTOR)**” (hereinafter “Study”), with Dr. Lisa M. Cleveland as Principal Investigator for UTHSCSA and Williamson County EMS Mobile Outreach Team as the Principal Investigator for the CONTRACTOR. Funding for this project is made available from federal funding from the Texas Health and Human Services Commission under Contract No.HHS000114600001.

1. Period of Performance. This Agreement is effective for the period of September 1, 2021 through April 30, 2022 unless terminated in accordance with Article 3 of this Agreement or extended in writing by modification to this Agreement.

2. Statement of Work. The CONTRACTOR will manage provisions of this agreement and will be providing all the necessary qualified personnel, equipment, materials and facilities to accomplish the services as set forth attached hereto and incorporated herein with the Statement of Work (Attachment A). Any change in the Statement of Work must be approved in writing by the Principal Investigator and UTHSCSA.

The CONTRACTOR agrees: a) treat and follow survivors according to the most recent approved treatment standards; b) that all institutional and federal regulations concerning informed consent shall be fulfilled; c) that the CONTRACTOR is responsible for ensuring complete regulatory compliance, as outlined in the FDA regulations.

3. Termination. This Agreement may be terminated in whole or in part in any of the following cases: a) by either party upon thirty (30) days prior written notice; b) by either party if the other party materially fails to comply with the terms and conditions of this Agreement; or c) by either party without prior notice when such action is necessary to protect the safety of patients.

4. Consideration and Payment. Payments and any other compensation to be provided for the conduct of the Study shall be as set forth in Attachment B, Payment Schedule, attached hereto and incorporated herein. CONTRACTOR shall provide funding to SUBCONTRACTOR in one lump sum payment. The attached sample invoice in Attachment C should be utilized to request payment.

5. Reports. The CONTRACTOR shall submit any reports of unanticipated or pre-specified adverse events to the Principal Investigator, within twenty-four (24) hours of occurrence. In addition, the CONTRACTOR may be asked to furnish other reports, at such time and in such form, as reasonably requested by UTHSCSA during the term of this Agreement.

6. Site Visits, Audit and Records. UTHSCSA, or any of its duly authorized representatives, upon reasonable advance notice and during normal business hours, shall have access to and the rights to conduct site visits and examine any pertinent books, documents, papers, and records of the CONTRACTOR, including patient records, related to this Agreement.

7. Publications, Copyrights, and Confidentiality. No party shall, without the prior written consent of the other party, use in advertising, publicity, or otherwise, the name, trademark, logo, symbol, or other image of the other party, its employees or agents, including without limitation, any of such relating to UTHSCSA and CONTRACTOR. CONTRACTOR (including its employees, agents, and representatives) shall not issue or disseminate any press

release or statement, nor initiate any communication of information regarding this study (written or oral) to the communications media without the prior written consent of UTHSCSA.

Disclosure of information the parties wish to remain confidential and proprietary information (hereinafter collectively, "Confidential Information") directly pertaining to the project is in confidence and thus the Parties agree to:

- a. (1) Not disclose the Confidential Information, as defined above, to any other person and (2) use at least the same degree of care to maintain the Confidential Information as the Receiving Party uses in maintaining as confidential its own confidential information, but always at least a reasonable degree of care;
- b. Use the Confidential Information only for the Purpose;
- c. Restrict disclosure of the Confidential Information solely to those employees of the Receiving Party having a need to know such Confidential Information in order to accomplish the Purpose;
- d. Advise each such employee, before he or she receives access to the Confidential Information, of the obligations of the Parties under this Agreement, and require each such employee to maintain those obligations. The Confidential Information may be communicated to the University's scientific and/or institutional review committee(s) under a similar, appropriate understanding of the confidential and/or proprietary nature of the Confidential Information supplied and to further the Purpose.
- e. Within fifteen (15) days following written request of the Disclosing Party return to the Disclosing Party all documentation, copies, notes, diagrams, computer memory media and other materials containing any portion of the Confidential Information which was provided by the Disclosing Party, or confirm to Disclosing Party, in writing, the destruction of such materials. The Parties shall have the right to retain one (1) copy in a secure location for the sole purpose of determining any continuing obligations of confidentiality under this Agreement.

This Agreement imposes no obligation on the Receiving Party with respect to any portion of the Confidential Information received from the Disclosing Party which (a) was known to Receiving Party prior to disclosure by Disclosing Party, (b) is lawfully obtained by Receiving Party from a third party under no obligation of confidentiality, (c) is or becomes generally known or publicly available other than by unauthorized disclosure, (d) is independently developed by Receiving Party, (e) is disclosed by Disclosing Party to a third party without a duty of confidentiality on the third party, or (f) is not disclosed in writing or reduced to writing and marked with an appropriate confidentiality legend within thirty (30) days after disclosure. In addition, a Party may disclose to third parties Confidential Information of the other Party to the minimal extent such disclosure is required to comply with law or regulation, provided that to the extent possible the Party required to make such disclosure shall notify disclosing Party and assert or allow disclosing Party to assert any exclusions or exemptions that may be available to it and/or seek a protective order with respect thereto.

The Confidential Information provided by the Disclosing Party shall remain the sole property of Disclosing Party.

8. Patents and Intellectual Property. Ideas, know-how, data (including study results), and other intellectual property generated under this Agreement shall be the sole and exclusive property of UTHSCSA and inventorship shall be determined in accordance with U.S. Patent laws. CONTRACTOR shall also grant to UTHSCSA an irrevocable, royalty-free, worldwide, non-exclusive license to each invention for which it is unable to assign rights to UTHSCSA.

9. HIPAA. The CONTRACTOR shall be responsible for full compliance with all applicable HIPAA regulations. The CONTRACTOR shall collect, use, and disclose Protected Health Information, as defined in the HIPAA regulations. The Business Associate Agreement (BAA) agreement terms and conditions attached hereto as Attachment D are incorporated herein and must be signed and executed for non-covered entities. Covered entities are not required to execute Attachment D, but must mark they are a HIPPA covered entity in Attachment D. In the

event of conflict between this agreement and Attachment D, Attachment D supersedes for all issues regarding Protected Health Information as defined in the BAA.

10. Liability and Insurance. Each party shall be responsible for its own negligent acts or omissions and the negligent acts or omissions of its employees, agents, officers, or directors, to the extent allowed by law. CONTRACTOR represents and certifies that it and its CONTRACTOR are covered by sufficient malpractice and general liability insurance, or program of self-insurance, to fully perform their responsibilities hereunder.

11. Debarment. The CONTRACTOR certifies that it has not been debarred under the provisions of the Generic Drug Enforcement Act of 1992, or other applicable government regulations and CONTRACTOR represents that, to the best of its knowledge after reasonable inquiry, its employees are not and have never been so debarred and that it will not use in any capacity, in connection with the services to be performed under this Agreement, any individual who has been so debarred.

12. Federal Funding. This Agreement is made with federal funds awarded to CONTRACTOR, the following provisions are made a part of this Agreement, as applicable:

(a.) Equal Employment Opportunity. SUBCONTRACTOR agrees to comply with E.O. 11246, "Equal Employment Opportunity," as amended by E.E. 11375, "Amending Executive Order 11246 Relating to Equal Employment Opportunity," and as supplemented by regulations at 41 CFR Part 60, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor."

(b.) Copeland "Anti-kickback" Act (18 U.S.C. 874 and U.S.C. 276c). The SUBCONTRACTOR and any subcontractors will comply with Copeland "Anti-Kickback" Act (18 U.S.C. 874), as supplemented by Department of Labor regulations (29 CFR Part 3, "Contractors and Sub-contractors on Public Buildings or Public Works Financed in Whole or in Part by Loans or Grants from the United States"). The Act provides that each contractor or sub-recipient will be prohibited from inducing, by any means, any person employed in the construction, completion or repair of public work, to give up any part of the compensation to which he is otherwise entitled. CONTRACTOR is bound to report all suspected or reported violations to the federal awarding agency.

(c.) Davis-Bacon Act, as amended (40 U.S.C. 276a to a-7). The SUBCONTRACTOR and any subcontractors will comply with the Davis-Bacon Act (40 U.S.C. 276a- to a-7) as supplemented by Department of Labor regulations (29 CFR part 5, "Labor Standards Provisions Applicable to Contracts Governing Federally Financed and Assisted Construction"). Under this Act, contractors will be required to pay wages to laborers and mechanics at a rate not less than the minimum wages specified in a wage determination made by the Secretary of Labor. In addition, contractors will be required to pay wages not less than once a week. Should the Davis-Bacon Act apply to this Agreement, this Contract is conditioned upon the acceptance by the SUBCONTRACTOR of the wage determination. CONTRACTOR is bound to report all suspected or reported violations to the federal awarding agency.

(d.) Clean Air Act (42 U.S.C. 7401 et seq.) and the Federal Water Pollution Control Act (33 USC 1251 et seq.), as amended. The SUBCONTRACTOR agrees to comply with all applicable standards, orders, or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401 et seq.). University is bound to report violations to the federal awarding agency and the Regional Office of the Environmental Protection Agency.

(e.) Byrd Anti-Lobbying Amendment (31 U.S.C. 1352). The SUBCONTRACTOR and its subcontractors are required to file the required Anti-Lobbying Certification certifying that it will not and has not used federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of a member of Congress in connection with obtaining any federal contract, grant, or any other award covered by 31 U.S.C. 1352. SUBCONTRACTOR and its subcontractors will also disclose any lobbying with non-federal funds that takes place in connection with obtaining any federal award. Such disclosures will be forwarded from tier-to-tier up to CONTRACTOR.

(f.) EEOC and Veterans. The SUBCONTRACTOR shall abide by the requirements of 41 CFR §§ 60-1.4(a), 60-300.5(a) and 60-741.5(a). These regulations prohibit discrimination against qualified individuals based on their status as protected veterans or individuals with disabilities and prohibit discrimination against all individuals based on their race, color, religion, sex, or national origin. Moreover, these regulations require that covered prime contractors and subcontractors take affirmative action to employ and advance in employment individuals without regard to race, color, religion, sex, national origin, protected veteran status or disability.

13. Independent Contractor. The CONTRACTOR's relationship to UTHSCSA under this Agreement shall be that of an independent contractor and not an agent, joint venture, or partner of UTHSCSA and, as such, no employees, staff, or agents of the CONTRACTOR shall be entitled to any benefits applicable to employees of UTHSCSA.

14. Boycotting Israel. Pursuant to Chapter 2271, Texas Government Code, SUBCONTRACTOR certifies it (1) does not currently boycott Israel; and (2) will not boycott Israel during the Term of this Agreement. SUBCONTRACTOR acknowledges this Agreement may be terminated and payment withheld if this certification is inaccurate.

15. Ethics Matters, No Financial Interest. SUBCONTRACTOR and its employees, agents, representatives and subcontractors have read and understand CONTRACTOR Conflicts of Interest Policy available at <http://www.CONTRACTOR.edu/compliance>, and <http://www.CONTRACTOR.edu/hop2000/10.1.12.pdf>, University's Standards of Conduct Guide available at <http://www.CONTRACTOR.edu/compliance>, and <http://www.CONTRACTOR.edu/hop2000/10.1.2.pdf>, and applicable state ethics laws and rules available at <http://utsystem.edu/offices/general-counsel/ethics>. Neither SUBCONTRACTOR nor its employees, agents, representatives or subcontractors will assist or cause CONTRACTOR employees to violate CONTRACTOR's Conflicts of Interest Policy, provisions described by CONTRACTOR's Standards of Conduct Guide, or applicable state ethics laws or rules. SUBCONTRACTOR represents and warrants that no member of the Board has a direct or indirect financial interest in the transaction that is the subject of this Agreement. Further, to the extent applicable SUBCONTRACTOR agrees to comply with §2252.908, Texas Government Code (Disclosure of Interested Parties Statute), and 1 TAC §§46.1 through 46.5 (Disclosure of Interested Parties Regulations), as implemented by the Texas Ethics Commission (TEC), including, among other things, providing the TEC and CONTRACTOR with information required on the form promulgated by TEC. SUBCONTRACTOR may learn more about these disclosure requirements, including the use of TEC's electronic filing system, by reviewing the information on TEC's website at https://www.ethics.state.tx.us/whatsnew/FAQ_Form1295.html.

16. Subcontracting and Assignment. This Agreement may not be subcontracted, assigned or transferred by either party without the prior written consent of the other.

17. Entire Agreement. This Agreement constitutes the entire agreement between the parties. Any alterations, modification, or amendment to this Agreement must be in writing and signed by authorized officials of both parties. No changes in the Statement of Work will be made unless agreed upon by UTHSCSA and CONTRACTOR.

18. Notices. Any notices to be given under these terms and conditions unless otherwise stated shall be submitted as follows:

To the CONTRACTOR:

For Technical Matters:

Annie Burwell
Williamson County EMS MOT
3189 SE Inner Loop
Georgetown, Texas 78626

To UTHSCSA:

For Technical Matters:

Dr. Lisa M. Cleveland
University of Texas Health Science
Center at San Antonio
7703 Floyd Curl Drive

512-943-3545
aburwell@wilcom.org

San Antonio, TX 78229-3900
210-567-3844
clevelandl@uthscsa.edu

For Business Matters:
Jeanne Williby
Williamson County EMS MOT
3189 SE Inner Loop
Georgetown, Texas 78626
512-943-3588
jwilliby@wilcom.org

For Business Matters:
Chris G. Green, CPA
Senior Director, Sponsored Programs
The University of Texas Health Science
Center at San Antonio
7703 Floyd Curl Drive, MSC 7828
San Antonio, TX 78229-3900
210-567-2340
grants@uthscsa.edu

The parties hereby accept and agree to this Agreement.

**The University of Texas Health Science
Center at San Antonio**

CONTRACTOR

By _____
Chris G. Green, CPA
Sr. Director, Sponsored Programs

By _____
Bill Gravell
County Judge

Date _____

Date _____

Attachments:

- A. Statement of Work**
- B. Payment Schedule**
- C. Sample Invoice**
- D. Business Associate Agreement**

1. EMS Opioid Response Program – Start up and Reporting

- a) EMS Opioid Response project will combine prevention, treatment, and recovery support strategies with a primary focus on reducing overdose death. This project aims to prevent opioid and stimulant overdose death and increase access to opioid and stimulant treatment and recovery services.
- b) Subcontractor EMS Opioid Response programs must incorporate 4 key strategies:
 - 1. Provide overdose reversal education and disseminate opioid overdose reversal medication
 - 2. Provide induction onto U.S. Food and Drug Administration (FDA) approved medications used to treat opioid use disorder and link patients to ongoing care
 - 3. Provide peer recovery support services
 - 4. Provide community pre-hospital health care provider follow-up and support
- c) Services must be offered to target populations approved by the System Agency. EMS provider sites must be actively serving persons within the target population no later than four (4) months following the date of the contract agreement.
- d) Subcontractors must serve 225 individuals at each EMS site in metro areas (100 individuals if in a rural community), per state fiscal year (September-August) when programs are fully operational.
 - i. Service to individuals shall be defined as providing one or more of the following activities: overdose reversal education and medication, induction onto medication to treat opioid use disorder and links to ongoing care, peer recovery support services, and follow-up and support resources.
 - ii. Fully operational is defined as all program components made available to program participants.
- e) Each EMS Site will achieve 30% patient engagement on medication-assisted treatment longer than 30 calendar days.
- f) Subcontractor may request technical assistance and/or training from Performing Agency.
- g) Subcontractor must complete and submit a monthly measures report on the 15th of each month with patient outcome data on a template provided by System Agency.
- h) Due September 15 of each fiscal year, Subcontractor must complete and submit a Final Report summarizing annual:
 - i. Number of Adults who received services;
 - ii. Patient induction rates;
 - iii. Rates of linkage for MAT protocols, EMS support protocols, and recover support protocols;
 - iv. Patient outcomes
 - v. Milestones achieved in the implementation of the EMS Opioid Response Site
 - vi. Sustainability plans
 - vii. Suggested improvements for future implementation
 - viii. List of all prior reports submitted

2. Subcontracting EMS Site required implementation strategies

- a. Subcontractors will utilize four (4) basic strategies to provide integrated and collaborative opioid response services. Required of each EMS sites to provide and track overdose prevention education/training to all patients and, as possible, supportive allies.
- b. Provide supplies that aid in reducing opioid overdose risk, including but not limited to, overdose reversal kits that include overdose reversal medications.

- i. Fentanyl testing supplies may not be purchased with funds from this contract, unless System Agency provides written approval.
- c. Submit, and maintain a monthly report, labeled “Monthly Patient Overdose Prevention Education Report” that, at a minimum, documents provision of overdose prevention education/training, distribution of materials, and includes demographic information, due the 15th of each month for previous months trainings.

3. Induction onto Medications and Coordinated Treatment – required implementation strategies

- a. Designate prescriber(s) of buprenorphine for the treatment of Opioid Use Disorder (OUD)
- b. Ensure designated provider(s) prescribing physician, nurse practitioners, and/or physician assistants participate in DATA 2000 Waiver Training and obtain the waiver to prescribe buprenorphine for the treatment of opioid use disorders for each designated prescriber within 30 calendar days of project start date.
- c. DATA 2000 Waiver Training may NOT be funded through this contract
- d. Submit and Maintain “Waivered Prescribing Practitioners Report” that at a minimum lists waivered prescribing practitioners, due 45 calendar days from contract execution.
- e. Establish Memorandum of Agreement (MOA’s) with local Outreach Screening Assessment and Referral (OSAR) providers to facilitate admission into ongoing Medication Assisted Treatment (MAT).MOA’s must be made available upon request.
- f. Within 24 hours of identification of a patient with Opioid Use Disorder (OUD), make available through a process of consent induction onto buprenorphine for uninsured and underinsured patients with a diagnosis of OUD.

4. Peer Recovery Support required implementation strategies

- a. Through shared understanding, respect, and mutual empowerment, peer support workers help people become and stay engaged in the recovery process and reduce the likelihood of reoccurrence. Peer support services can effectively extend the reach of treatment beyond the clinical setting into the everyday environment of those seeking a successful, sustained recovery process. This strategy integrates peer recovery support into a traditional primary care environment. Grantee will:
 - i. Required of all EMS sites contract with or staff certified peer recovery coaches. Recovery coaches, who are employed by the program, must be available on an on-call basis to provide peer recovery support services for patients for a minimum of eight (8) consecutive days after identification or induction and then once a week thereafter.

5. EMS Community Paramedicine Follow-up and Support

- a. Follow-up and support incorporates community paramedicine, a healthcare model that allows paramedics and EMTs to expand their role to provide non- crisis public health and preventive healthcare services. Grantee will:
 - i. Require that all patients identified as being at risk for opioid and stimulant overdose or opioid and stimulant use disorder receive follow-up and support by Paramedics and EMT’s, to address any co-occurring opioid and stimulant use disorder and primary healthcare issues. Paramedics and EMTs must be available to provide primary care consultation and support services for patients for a minimum of eight (8) consecutive days after identification or induction and then once a week thereafter. A follow up includes making contact with the patient and providing any of the following: health and wellness

screening and/or assessment, referral to services, listening to patient concerns and answering questions.

6. Clinical Management for Behavioral Health Services – Minimum System Requirements

Unless otherwise noted, subcontractors will:

- a.
- a. Designate a Security Administrator and a back-up Security Administrator. The Security Administrator is required to implement and maintain a system for management of user accounts/user roles to ensure that all the CMBHS user accounts are current within.
- b. Establish and maintain a security policy that ensures adequate system security and protection of confidential information.
- c. Notify the CMBHS Helpdesk within ten (10) business days of any change to the designated Security Administrator or the back-up Security Administrator.
- d. Ensure that access to CMBHS is restricted to only authorized users. Grantee shall, within 24 hours, remove access to users who are no longer authorized to have access to secure data.
- e. In addition to CMBHS Helpdesk notification, Grantee shall submit a signed CMBHS Security Attestation Form and a list of Grantee's employees, contracted laborers and subcontractors authorized to have access to secure data. The CMBHS Security Attestation Form shall be submitted electronically twice a year, within fifteen (15) days of contract execution, and on April 15 to GlobalScape EFT
- f. (<https://sftp.hhs.texas.gov/>).
- g. On behalf of all subcontractors, document prevention, treatment, and recovery activities and services of each participant and/or patient in System Agency Clinical Management for Behavioral Health Services (CMBHS) system in accordance with the Contact and instructions provided by System Agency, unless otherwise noted. If CMBHS is unavailable, System Agency shall provide an alternative record keeping process. Grantee shall ensure the following:
- h. Maintain all documents that require participant or staff signature in the physical record for review by System Agency.
- i. Upload documentation that is handwritten and not transcribed into the CMBHS record.
- j. Attend System Agency training on CMBHS documentation.

Attachment B
Payment Schedule

A total of \$325,000 will be paid in one lump sum payment. The allocated provided amount will be to offset operational expenses emanating from the development and operational costs in accordance with the Statement of Work.

Williamson County

Payor:

UTHSCSA
7703 Floyd Curl Drive
San Antonio, Texas 78229-3900
email: greenc@uthscsa.edu

Voucher No.:
Date:

1
10/27/2021

Re: Agreement 170244/42943

Fixed Price Agreement

\$325,000.00

Total Requested Costs:

\$325,000.00 USD

By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812)."

Name:

Title:

ATTACHMENT D
Business Associate Agreement

CONTRACTOR certifies that it is a HIPAA Covered Entity: Yes ___X___ No _____

(If yes, skip section below entitled, Business Associate Agreement)

Business Associate Agreement

This Business Associate Agreement (the “Agreement”) by and between Business Associate and Covered Entity (collectively the “Parties”) to comply with privacy standards adopted by the U.S. Department of Health and Human Services as they may be amended from time to time, 45 C.F.R. parts 160 and 164 (“the Privacy Rule”) and security standards adopted by the U.S. Department of Health and Human Services as they may be amended from time to time, 45 C.F.R. parts 160, 162 and 164, subpart C (“the Security Rule”), and the Health Information Technology for Economic and Clinical Health (HITECH) Act, Title XIII of Division A and Title IV of Division B of the American Recovery and Reinvestment Act of 2009 and regulations promulgated there under and any applicable state confidentiality laws.

RECITALS

WHEREAS, Business Associate provides **Texas Targets Opioid Response (TTOR)** Services to or on behalf of Covered Entity;

WHEREAS, in connection with these services, Covered Entity discloses to Business Associate certain protected health information that is subject to protection under the HIPAA Rules; and

WHEREAS, the HIPAA Rules require that Covered Entity receive adequate assurances that Business Associate will comply with certain obligations with respect to the PHI received in the course of providing services to or on behalf of Covered Entity.

NOW THEREFORE, in consideration of the mutual promises and covenants herein, and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Parties agree as follows:

- A. **Definitions.** Terms used herein, but not otherwise defined, shall have meaning ascribed by the Privacy Rule and the Security Rule.
1. **Breach.** “Breach” shall have the same meaning as the term “breach” in 45 C.F.R. §164.502.
 2. **Business Associate.** “Business Associate” shall mean _____
 3. **Covered Entity.** “Covered Entity” shall mean **The University of Texas Health Science Center at San Antonio.**

4. Designated Record Set. “Designated Record Set” shall mean a group of records maintained by or for a Covered Entity that is: (i) the medical records and billing records about Individuals maintained by or for a covered health care provider; (ii) the enrollment, payment, claims adjudication, and case or medical management record systems maintained by or for a health plan; or (iii) used, in whole or in part, by or for the covered entity to make decisions about Individuals. For purposes of this definition, the term “record” means any item, collection, or grouping of information that includes protected health information and is maintained, collected, used, or disseminated by or for a covered entity.
5. HIPAA Rules. The Privacy Rule and the Security Rule and amendments codified and promulgated by the HITECH Act are referred to collectively herein as “HIPAA Rules.”
6. Individual. “Individual” shall mean the person who is the subject of the protected health information.
7. Protected Health Information (“PHI”). “Protected Health Information” or PHI shall have the same meaning as the term “protected health information” in 45 C.F.R. §160.103, limited to the information created, received, maintained or transmitted by Business Associate from or on behalf of covered entity pursuant to this Agreement.
8. Required by Law. “Required by Law” shall mean a mandate contained in law that compels a use or disclosure of PHI.
9. Secretary. “Secretary” shall mean the Secretary of the Department of Health and Human Services or his or her Designee.
10. Sensitive Personal Information. “Sensitive Personal Information” shall mean an individual’s first name or first initial and last name in combination with any one or more of the following items, if the name and the items are not encrypted: a) social security number; driver’s license number or government-issued identification number; or account number or credit or debit card number in combination with any required security code, access code, or password that would permit access to an individual’s financial account; or b) information that identifies an individual and relates to: the physical or mental health or condition of the individual; the provision of health care to the individual; or payment for the provision of health care to the individual.
11. Subcontractor. “subcontractor” shall have the same meaning as the term “subcontractor” in 45 C.F.R. §160.103.
12. Unsecured PHI. “Unsecured PHI” shall mean PHI that is not rendered unusable, unreadable, or indecipherable to unauthorized individuals through the use of a technology or methodology specified by the Secretary in the guidance issued under section 13402(h)(2) of Public Law 111-5.

B. Purposes for which PHI May Be Disclosed to Business Associate. In connection with the services provided by Business Associate to or on behalf of Covered Entity described in this Agreement, Covered Entity may disclose PHI to Business Associate for the purposes of providing office-based treatment (OBT) services to alleviate the adverse physiological effects withdrawal from the use of opioids as required to meet the needs of the patient. C. Obligations of Covered Entity. If deemed applicable by Covered Entity, Covered Entity shall:

1. provide Business Associate a copy of its Notice of Privacy Practices (“Notice”) produced by Covered Entity in accordance with 45 C.F.R. 164.520 as well as any changes to such Notice;

2. provide Business Associate with any changes in, or revocation of, authorizations by Individuals relating to the use and/or disclosure of PHI, if such changes affect Business Associate's permitted or required uses and/or disclosures;
3. notify Business Associate of any restriction to the use and/or disclosure of PHI to which Covered Entity has agreed in accordance with 45 C.F.R. 164.522, to the extent that such restriction may affect Business Associate's use or disclosure of PHI;
4. not request Business Associate to use or disclose PHI in any manner that would not be permissible under the Privacy rule if done by the Covered entity;
5. notify Business Associate of any amendment to PHI to which Covered Entity has agreed that affects a Designated Record Set maintained by Business Associate;
6. if Business Associate maintains a Designated Record Set, provide Business Associate with a copy of its policies and procedures related to an Individual's right to: access PHI; request an amendment to PHI; request confidential communications of PHI; or request an accounting of disclosures of PHI; and, notify individuals of breach of their Unsecured PHI in accordance with the requirements set forth in 45 C.F.R. §164.404.

C. Obligations of Business Associate. Business Associate agrees to comply with applicable federal and state confidentiality and security laws, specifically the provisions of the HIPAA Rules applicable to business associates, including:

1. Use and Disclosure of PHI. Except as otherwise permitted by this Agreement or applicable law, Business Associate shall not use or disclose PHI except as necessary to provide Services described above to or on behalf of Covered Entity, and shall not use or disclose PHI that would violate the HIPAA Rules if used or disclosed by Covered Entity. Also, knowing that there are certain restrictions on disclosure of PHI. Provided, however, Business Associate may use and disclose PHI as necessary for the proper management and administration of Business Associate, or to carry out its legal responsibilities. Business Associate shall in such cases:
 - (a) provide information and training to members of its workforce using or disclosing PHI regarding the confidentiality requirements of the HIPAA Rules and this Agreement;
 - (b) obtain reasonable assurances from the person or entity to whom the PHI is disclosed that: (a) the PHI will be held confidential and further used and disclosed only as Required by Law or for the purpose for which it was disclosed to the person or entity; and (b) the person or entity will notify Business Associate of any instances of which it is aware in which confidentiality of the PHI has been breached; and
 - (c) agree to notify the designated Privacy Officer of Covered Entity of any instances of which it is aware in which the PHI is used or disclosed for a purpose that is not otherwise provided for in this Agreement or for a purpose not expressly permitted by the HIPAA Rules.
2. Data Aggregation. In the event that Business Associate works for more than one Covered Entity, Business Associate is permitted to use and disclose PHI for data aggregation purposes, however, only in order to analyze data for permitted health care operations, and only to the extent that such use is permitted under the HIPAA Rules.

3. De-identified Information. Business Associate may use and disclose de-identified health information if written approval from the Covered Entity is obtained, and the PHI is de-identified in compliance with the HIPAA Rules. Moreover, Business Associate shall review and comply with the requirements defined under Section E. of this Agreement.
4. Safeguards.
 - (a) Business Associate shall maintain appropriate safeguards to ensure that PHI is not used or disclosed other than as provided by this Agreement or as Required by Law. Business Associate shall implement administrative, physical and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of any paper or electronic PHI it creates, receives, maintains, or transmits on behalf of Covered Entity.
 - (b) Business Associate shall assure that all PHI be secured when accessed by Business Associate's employees, agents or subcontractor. Any access to PHI by Business Associate's employees, agents or subcontractors shall be limited to legitimate business needs while working with PHI. Any personnel changes by Business Associate, eliminating the legitimate business needs for employees, agents or contractors access to PHI – either by revision of duties or termination – shall be immediately reported to Covered Entity. Such reporting shall be made no later than the third business day after the personnel change becomes effective.
5. Minimum Necessary. Business Associate shall ensure that all uses and disclosures of PHI are subject to the principle of “minimum necessary use and disclosure,” i.e., that only PHI that is the minimum necessary to accomplish the intended purpose of the use, disclosure, or request is used or disclosed; and, the use of limited data sets when possible.
6. Disclosure to Agents and Subcontractors. If Business Associate discloses PHI received from Covered Entity, or created or received by Business Associate on behalf of Covered Entity, to agents, including a subcontractor, Business Associate shall require the agent or subcontractor to agree to the same restrictions and conditions as apply to Business Associate under this Agreement. Business Associate shall ensure that any agent, including a subcontractor, agrees to implement reasonable and appropriate safeguards to protect the confidentiality, integrity, and availability of the paper or electronic PHI that it creates, receives, maintains, or transmits on behalf of the Covered Entity. Business Associate shall be liable to Covered Entity for any acts, failures or omissions of the agent or subcontractor in providing the services as if they were Business Associate's own acts, failures or omissions, to the extent permitted by law. Business Associate further expressly warrants that its agents or subcontractors will be specifically advised of, and will comply in all respects with, the terms of this Agreement.
7. Individual Rights Regarding Designated Record Sets. If Business Associate maintains a Designated Record Set on behalf of Covered Entity Business Associate agrees as follows:
 - (a) Individual Right to Copy or Inspection. Business Associate agrees that if it maintains a Designated Record Set for Covered Entity that is not maintained by Covered Entity, it will permit an Individual to inspect or copy PHI about the Individual in that set as directed by Covered Entity to meet the requirements of 45 C.F.R. § 164.524. If the PHI is in electronic format, the Individual shall have a right to obtain a copy of such information in electronic format and, if the Individual chooses, to direct that an electronic copy be transmitted directly to an entity or person designated by the individual in accordance with HITECH section 13405 (c). Under the Privacy Rule, Covered Entity is required to take action on such requests as soon

as possible, but not later than 30 days following receipt of the request. Business Associate agrees to make reasonable efforts to assist Covered Entity in meeting this deadline. The information shall be provided in the form or format requested if it is readily producible in such form or format; or in summary, if the Individual has agreed in advance to accept the information in summary form. A reasonable, cost-based fee for copying health information may be charged. If Covered Entity maintains the requested records, Covered Entity, rather than Business Associate shall permit access according to its policies and procedures implementing the Privacy Rule.

(b) Individual Right to Amendment. Business Associate agrees, if it maintains PHI in a Designated Record Set, to make amendments to PHI at the request and direction of Covered Entity pursuant to 45 C.F.R. §164.526. If Business Associate maintains a record in a Designated Record Set that is not also maintained by Covered Entity, Business Associate agrees that it will accommodate an Individual's request to amend PHI only in conjunction with a determination by Covered Entity that the amendment is appropriate according to 45 C.F.R. §164.526.

(c) Accounting of Disclosures. Business Associate agrees to maintain documentation of the information required to provide an accounting of disclosures of PHI, whether PHI is paper or electronic format, in accordance with 45 C.F.R. §164.528 and HITECH Sub Title D Title VI Section 13405 (c), and to make this information available to Covered Entity upon Covered Entity's request, in order to allow Covered Entity to respond to an Individual's request for accounting of disclosures. Under the Privacy Rule, Covered Entity is required to take action on such requests as soon as possible but not later than 60 days following receipt of the request. Business Associate

agrees to use its best efforts to assist Covered Entity in meeting this deadline but not later than 45 days following receipt of the request. Such accounting must be provided without cost to the individual or Covered Entity if it is the first accounting requested by an individual within any 12 month period; however, a reasonable, cost-based fee may be charged for subsequent accountings if Business Associate informs the individual in advance of the fee and is afforded an opportunity to withdraw or modify the request. Such accounting is limited to disclosures that were made in the six (6) years prior to the request (not including disclosures prior to the compliance date of the Privacy Rule) and shall be provided for as long as Business Associate maintains the PHI.

8. Internal Practices, Policies and Procedures. Except as otherwise specified herein, Business Associate shall make available its internal practices, policies and procedures relating to the use and disclosure of PHI, received from or on behalf of Covered Entity to the Secretary or his or her agents for the purpose of determining Covered Entity's compliance with the HIPAA Rules, or any other health oversight agency, or to Covered Entity. Records requested that are not protected by an applicable legal privilege will be made available in the time and manner specified by Covered Entity or the Secretary.

9. Notice of Privacy Practices. Business Associate shall abide by the limitations of Covered Entity's Notice of which it has knowledge. Any use or disclosure permitted by this Agreement may be amended by changes to Covered Entity's Notice; provided, however, that the amended Notice shall not affect permitted uses and disclosures on which Business Associate relied prior to receiving notice of such amended Notice.

10. Withdrawal of Authorization. If the use or disclosure of PHI in this Agreement is based upon an Individual's specific authorization for the use or disclosure of his or her PHI, and the Individual revokes such authorization, the effective date of such authorization has expired, or such authorization is found to be defective in any manner that renders it invalid, Business Associate shall, if it has notice of such revocation, expiration, or invalidity, cease the use and disclosure of the Individual's PHI except to the extent it has relied on such use or disclosure, or if an exception under the Privacy Rule expressly applies.
11. Knowledge of HIPAA Rules. Business Associate agrees to review and understand the HIPAA Rules as it applies to Business Associate, and to comply with the applicable requirements of the HIPAA Rule, as well as any applicable amendments.
12. Information Breach Notification for PHI. Business Associate expressly recognizes that Covered Entity has certain reporting and disclosure obligations to the Secretary and the Individual in case of a security breach of unsecured PHI. Where Business Associate accesses, maintains, retains, modifies, records, stores, destroys, or otherwise holds, uses or discloses unsecured paper or electronic PHI, Business Associate immediately following the "discovery" (within the meaning of 45 C.F.R. §164.410(a)) of a breach of such information, shall notify Covered Entity of such breach. Initial notification of the breach does not need to be in compliance with 45 C.F.R. §164.404(c); however, Business Associate must provide Covered Entity with all information necessary for Covered Entity to comply with 45 C.F.R. §164.404(c) without reasonable delay, and in no case later than 30 days following the discovery of the breach. Business Associate shall be liable for the costs associated with such breach if caused by the Business Associate's negligent or willful acts or omissions, or the negligent or willful acts or omissions of Business Associate's agents, officers, employees or subcontractors.
13. Breach Notification to Individuals. Business Associate's duty to notify Covered Entity of any breach does not permit Business Associate to notify those individuals whose PHI has been breached by Business Associate without the express written permission of Covered Entity to do so. Any and all notification to those individuals whose PHI has been breached shall be made under the direction, review and control of Covered Entity. The Business Associate will notify the Privacy Officer via telephone with follow-up in writing to include; name of individuals whose PHI was breached, information breached, date of breach, form of breach, etc. The cost of the notification will be paid by the Business Associate.
14. Information Breach Notification for Other Sensitive Personal Information. In addition to the reporting under Section D.12, Business Associate shall notify Covered Entity of any breach of computerized Sensitive Personal Information (as determined pursuant to Title 11, subtitle B, chapter 521, Subchapter A, Section 521.053. Texas Business & Commerce Code) to assure Covered Entity's compliance with the notification requirements of Title 11, Subtitle B, Chapter 521, Subchapter A, Section 521.053, Texas Business & Commerce Code. Accordingly, Business Associate shall be liable for all costs associated with any breach caused by Business Associate's negligent or willful acts or omissions, or those negligent or willful acts or omissions of Business Associate's agents, officers, employees or subcontractors.

D. Permitted Uses and Disclosures by Business Associates. Except as otherwise limited in this Agreement, Business Associate may use or disclose Protected Health Information to perform functions, activities, or services for, or on behalf of, Covered Entity as specified in this Business Associates Agreement or in a Master Services Agreement, provided that such use or disclosure would not violate the HIPAA Rules if done by Covered Entity or the minimum necessary policies and procedures of the Covered Entity. Also,

Business Associate may use PHI to report violations of law to appropriate Federal and State authorities, consistent with the HIPAA Rules.

1. Use. Business Associate will not, and will ensure that its directors, officers, employees, contractors and other agents do not, use PHI other than as permitted or required by Business Associate to perform the Services or as required by law, but in no event in any manner that would constitute a violation of the Privacy Standards or Security standards if used by Covered Entity.
2. Disclosure. Business Associate will not, and will ensure that its directors, officers, employees, contractors, and other agents do not, disclose PHI other than as permitted pursuant to this arrangement or as required by law, but in no event disclose PHI in any manner that would constitute a violation of the Privacy Standards or Security Standards if disclosed by Covered Entity.
3. Business Associate acknowledges and agrees that Covered Entity owns all right, title, and interest in and to all PHI, and that such right, title, and interest will be vested in Covered Entity. Neither Business Associate nor any of its employees, agents, consultants or assigns will have any rights in any of the PHI, except as expressly set forth above. Business Associate represents, warrants, and covenants that it will not compile and/or distribute analyses to third parties using any PHI without Covered Entity's express written consent.

E. Application of Security and Privacy Provisions to Business Associate.

1. Security Measures. Sections 164.308, 164.310, 164.312 and 164.316 of Title 45 of the Code of Federal Regulations dealing with the administrative, physical and technical safeguards as well as policies, procedures and documentation requirements that apply to Covered Entity shall in the same manner apply to Business Associate. Any additional security requirements contained in Sub Title D of Title IV of the HITECH Act that apply to Covered Entity shall also apply to Business Associate. Pursuant to the foregoing requirements in this section, the Business Associate will implement administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of the paper or electronic PHI that it creates, has access to, or transmits. Business Associate will also ensure that any agent, including a subcontractor, to whom it provides such information, agrees to implement reasonable and appropriate safeguards to protect such information. Business Associate will ensure that PHI contained in portable devices or removable media is encrypted.
2. Annual Guidance. For the first year beginning after the date of the enactment of the HITECH Act and annually thereafter, the secretary shall annually issue guidance on the most effective and appropriate technical safeguards for use in carrying out the sections referred to in subsection (a) and the security standards in subpart C of part 164 of title 45, Code of Federal Regulations. Business Associate shall, at their own cost and effort, monitor the issuance of such guidance and comply accordingly.
3. Privacy Provisions. The enhanced HIPAA privacy requirements including but not necessarily limited to accounting for certain PHI disclosures for treatment, restrictions on the sale of PHI, restrictions on marketing and fundraising communications, payment and health care operations contained Subtitle D of the HITECH Act that apply to the Covered entity shall equally apply to the Business Associate.
4. Application of Civil and Criminal Penalties. If Business Associate violates any security or privacy provision specified in subparagraphs (1) and (2) above, sections 1176 and 1177 of the Social Security Act (42 U.S.C. 1320d-5, 1320d-6) shall apply to Business Associate with respect to such violation in the same manner that such sections apply to Covered Entity if it violates such provisions.

F. Term and Termination.

1. Term. This Agreement shall be effective as of the Effective Date and shall be terminated when all PHI provided to Business Associate by Covered Entity, or created or received by Business Associate on behalf of Covered Entity, is destroyed or returned to Covered Entity.
2. Termination for Cause. Upon Covered entity's knowledge of a material breach by Business Associate, Covered Entity shall either:
 - a. Provide an opportunity for Business associate to cure the breach or end the violation and terminate this Agreement, whether it is in the form of a stand alone agreement or an addendum to a Master Services Agreement, if Business Associate does not cure the breach or end the violation within the time specified by Covered Entity; or
 - b. Immediately terminate this Agreement whether it is in the form of a stand alone agreement or an addendum to a Master Services Agreement if Business associate has breached a material term of this Agreement and cure is not possible.
3. Effect of Termination. Upon termination of this Agreement for any reason, Business Associate agrees to return or destroy all PHI received from Covered Entity, or created or received by Business Associate on behalf of Covered Entity, maintained by Business Associate in any form. If Business Associate determines that the return or destruction of PHI is not feasible, Business Associate shall inform Covered Entity in writing of the reason thereof, and shall agree to extend the protections of this Agreement to such PHI and limit further uses and disclosures of the PHI to those purposes that make the return or destruction of the PHI not feasible for so long as Business Associate retains the PHI.

G. Miscellaneous.

1. Indemnification. To the extent permitted by law, Business Associate agrees to indemnify and hold harmless Covered Entity from and against all claims, demands, liabilities, judgments or causes of action of any nature for any relief, elements of recovery or damages recognized by law (including, without limitation, attorney's fees, defense costs, and equitable relief), for any damage or loss incurred by Covered Entity arising out of, resulting from, or attributable to any acts or omissions or other conduct of Business Associate or its agents in connection with the performance of Business Associate's or its agents' duties under this Agreement. This indemnity shall apply even if Covered Entity is alleged to be solely or jointly negligent or otherwise solely or jointly at fault; provided, however, that a trier of fact finds Covered Entity not to be solely or jointly negligent or otherwise solely or jointly at fault. This indemnity shall not be construed to limit Covered Entity's rights, if any, to common law indemnity.

Covered Entity shall have the option, at its sole discretion, to employ attorneys selected by it to defend any such action, the costs and expenses of which shall be the responsibility of Business Associate. Covered Entity shall provide Business Associate with timely notice of the existence of such proceedings and such information, documents and other cooperation as reasonably necessary to assist Business Associate in establishing a defense to such action.

These indemnities shall survive termination of this Agreement, and Covered Entity reserves the right, at its option and expense, to participate in the defense of any suit or proceeding through counsel of its own choosing.

2. Mitigation. If Business Associate violates this Agreement or either of the HIPAA Rules, Business Associate agrees to mitigate any damage caused by such breach.
3. Rights of Proprietary Information. Covered Entity retains any and all rights to the proprietary information, confidential information, and PHI it releases to Business Associate.
4. Survival. The respective rights and obligations of Business Associate under Section E.3 of this Agreement shall survive the termination of this Agreement.
5. Notices. Any notices pertaining to this Agreement shall be given in writing and shall be deemed duly given when personally delivered to a Party or a Party's authorized representative as listed below or sent by means of a reputable overnight carrier, or sent by means of certified mail, return receipt requested, postage prepaid. A notice sent by certified mail shall be deemed given on the date of receipt or refusal of receipt. All notices shall be addressed to the appropriate Party as follows:

If to Covered Entity:

The University of Texas Health Science
Center at San Antonio Office of
Sponsored Programs
Attention: Senior Director
7703 Floyd Curl Drive, MSC 7828 San
Antonio, Texas 78229-3900

Phone Number: 210-567-2340
Email: grants@uthscsa.edu

If to Business Associate:

Attn: _____

Phone Number:

Email: _____

6. Amendments. This Agreement may not be changed or modified in any manner except by an instrument in writing signed by a duly authorized officer of each of the Parties hereto. The Parties, however, agree to amend this Agreement from time to time as necessary, in order to allow Covered Entity's to comply with the requirements of the HIPAA Rules.
7. Choice of Law. This Agreement and the rights and the obligations of the Parties hereunder shall be governed by and construed under the laws of the State of Texas, without regard to applicable conflict of laws principles.
8. Assignment of Rights and Delegation of Duties. This Agreement is binding upon and inures to the benefit of the Parties hereto and their respective successors and permitted assigns. However, neither Party may assign any of its rights or delegate any of its obligations under this Agreement without the prior written consent of the other Party, which consent shall not be unreasonably withheld or delayed. Notwithstanding any provisions to the contrary, however, Covered Entity retains the right to assign or delegate any of its rights or obligations hereunder to any of its wholly owned subsidiaries, affiliates or successor companies. Assignments made in violation of this provision are null and void.
9. Nature of Agreement. Nothing in this Agreement shall be construed to create (i) a partnership, joint venture or other joint business relationship between the Parties or any of their affiliates, (ii) any fiduciary duty owed by one Party to another Party or any of its affiliates, or (iii) a relationship of employer and employee between the Parties.
10. No Waiver. Failure or delay on the part of either Party to exercise any right, power, privilege or remedy hereunder shall not constitute a waiver thereof. No provision of this Agreement may be waived by either Party except by a writing signed by an authorized representative of the Party making the waiver.
11. Equitable Relief. Any disclosure of misappropriation of PHI by Business Associate in violation of this Agreement will cause Covered Entity irreparable harm, the amount of which may be difficult to ascertain. Business Associate therefore agrees that Covered Entity shall have the right to apply to a court of competent jurisdiction for specific performance and/or an order restraining and enjoining Business Associate from any such further disclosure or breach, and for such other relief as Covered Entity shall deem appropriate. Such rights are in addition to any other remedies available to Covered Entity at law or in equity. Business Associate expressly waives the defense that a remedy in damages will be adequate, and further waives any requirement in an action for specific performance or injunction for the posting of a bond by Covered Entity.
12. Severability. The provisions of this Agreement shall be severable, and if any provision of this Agreement shall be held or declared to be illegal, invalid or unenforceable, the

remainder of this Agreement shall continue in full force and effect as though such illegal, invalid or unenforceable provision had not been contained herein.

13. No Third Party Beneficiaries. Nothing in this Agreement shall be considered or construed as conferring any right or benefit on a person not party to this Agreement nor imposing any obligations on either Party hereto to persons not a party to this Agreement.
14. Headings. The descriptive headings of the articles, sections, subsections, exhibits and schedules of this Agreement are inserted for convenience only, do not constitute a part of this Agreement and shall not affect in any way the meaning or interpretation of this Agreement.
15. Entire Agreement. This Agreement, together with all Exhibits, Riders and amendments, if applicable, which are fully completed and signed by authorized persons on behalf of both Parties from time to time while this Agreement is in effect, constitutes the entire Agreement between the Parties hereto with respect to the subject matter hereof and supersedes all previous written or oral understandings, agreements, negotiations, commitments, and any other writing and communication by or between the Parties with respect to the subject matter hereof. In the event of any inconsistencies between any provisions of this Agreement in any provisions of the Exhibits, Riders, or amendments, the provisions of this Agreement shall control.
16. Interpretation. Any ambiguity in this Agreement shall be resolved in favor of a meaning that permits Covered Entity to comply with the HIPAA Rules and any applicable state confidentiality laws. The provisions of this Agreement shall prevail over the provisions of any other agreement that exists between the Parties that may conflict with, or appear inconsistent with, any provision of this Agreement or the HIPAA Rules.
17. Regulatory References. A citation in this Agreement to the Code of Federal Regulations shall mean the cited section as that section may be amended from time to time.

Agreed to:

BUSINESS ASSOCIATE

COVERED ENTITY

By: _____
(Authorized Signature)

By: _____
(Authorized Signature)

Name: _____

(Type or Print)

Name: _____

(Type or Print)

Title: _____

Title: _____

Date: _____

Date: _____